

**SECURITIES ACT**  
R-002-2001  
Registered with the Registrar of Regulations  
2001-02-14

**SECURITIES FEES REGULATIONS, amendment**

The Commissioner, on the recommendation of the Minister, under section 54 of the *Securities Act* and every enabling power, orders as follows:

**1. The *Securities Fees Regulations*, R.R.N.W.T. 1990, c. 20 (Supp.), as duplicated for Nunavut, are amended by these regulations.**

**2.(1) Subsection 2(1) is amended by striking out "subsection (2)" and by substituting "subsections (2) and (3)".**

**(2) The following is added after subsection 2(2):**

(3) Where the Registrar provides under subsection 7(3) of the Act that a registration

or renewal of registration expires on December 31, 2001, the fees that shall be paid to the Registrar in respect of the application for the registration or renewal of registration are those set out in items 4(a) to (c) of the Schedule.

**3. The Schedule is amended to the extent set out in the schedule to these regulations.**

**4.(1) Subsection 2(1) is amended by striking out "subsections (2) and (3)" and by substituting "subsection (2)".**

**(2) Subsection 2(3) is repealed.**

**(3) The Schedule is amended by striking out the heading "*Alternate Term Applications*" and by repealing item 4.**

**(4) This section comes into force on January 1, 2002.**

**SCHEDULE**

**1.The following is added after item 3:**

*Alternate Term Applications*

4.The following fees are payable for applications referred to in subsection 2(3):

- (a) registration or renewal of registration as a broker ..... \$375
- (b) registration or renewal of registration as a security-issuer .. \$300
- (c) registration or renewal of registration as a salesman..... \$187.50